

whenever the investigation discontinues or the investigation is terminated. The person who ships the drug may authorize in writing alternative disposition of unused supplies of the drug provided this alternative disposition does not expose humans to risks from the drug, either directly or indirectly (e.g., through food-producing animals). The shipper shall maintain records of any alternative disposition.

[52 FR 8831, Mar. 19, 1987, as amended at 52 FR 23031, June 17, 1987. Redesignated at 53 FR 41523, Oct. 21, 1988; 67 FR 9586, Mar. 4, 2002]

## **PART 314—APPLICATIONS FOR FDA APPROVAL TO MARKET A NEW DRUG**

### **Subpart A—General Provisions**

Sec.

- 314.1 Scope of this part.
- 314.2 Purpose.
- 314.3 Definitions.

### **Subpart B—Applications**

- 314.50 Content and format of an application.
- 314.52 Notice of certification of invalidity or noninfringement of a patent.
- 314.53 Submission of patent information.
- 314.54 Procedure for submission of an application requiring investigations for approval of a new indication for, or other change from, a listed drug.
- 314.55 Pediatric use information.
- 314.60 Amendments to an unapproved application.
- 314.65 Withdrawal by the applicant of an unapproved application.
- 314.70 Supplements and other changes to an approved application.
- 314.71 Procedures for submission of a supplement to an approved application.
- 314.72 Change in ownership of an application.
- 314.80 Postmarketing reporting of adverse drug experiences.
- 314.81 Other postmarketing reports.
- 314.90 Waivers.

### **Subpart C—Abbreviated Applications**

- 314.92 Drug products for which abbreviated applications may be submitted.
- 314.93 Petition to request a change from a listed drug.
- 314.94 Content and format of an abbreviated application.
- 314.95 Notice of certification of invalidity or noninfringement of a patent.

- 314.96 Amendments to an unapproved abbreviated application.
- 314.97 Supplements and other changes to an approved abbreviated application.
- 314.98 Postmarketing reports.
- 314.99 Other responsibilities of an applicant of an abbreviated application.

### **Subpart D—FDA Action on Applications and Abbreviated Applications**

- 314.100 Timeframes for reviewing applications and abbreviated applications.
- 314.101 Filing an application and receiving an abbreviated new drug application.
- 314.102 Communications between FDA and applicants.
- 314.103 Dispute resolution.
- 314.104 Drugs with potential for abuse.
- 314.105 Approval of an application and an abbreviated application.
- 314.106 Foreign data.
- 314.107 Effective date of approval of a 505(b)(2) application or abbreviated new drug application under section 505(j) of the act.
- 314.108 New drug product exclusivity.
- 314.110 Approvable letter to the applicant.
- 314.120 Not approvable letter to the applicant.
- 314.122 Submitting an abbreviated application for, or a 505(j)(2)(C) petition that relies on, a listed drug that is no longer marketed.
- 314.125 Refusal to approve an application.
- 314.126 Adequate and well-controlled studies.
- 314.127 Refusal to approve an abbreviated new drug application.
- 314.150 Withdrawal of approval of an application or abbreviated application.
- 314.151 Withdrawal of approval of an abbreviated new drug application under section 505(j)(5) of the act.
- 314.152 Notice of withdrawal of approval of an application or abbreviated application for a new drug.
- 314.153 Suspension of approval of an abbreviated new drug application.
- 314.160 Approval of an application or abbreviated application for which approval was previously refused, suspended, or withdrawn.
- 314.161 Determination of reasons for voluntary withdrawal of a listed drug.
- 314.162 Removal of a drug product from the list.
- 314.170 Adulteration and misbranding of an approved drug.

### **Subpart E—Hearing Procedures for New Drugs**

- 314.200 Notice of opportunity for hearing; notice of participation and request for hearing; grant or denial of hearing.
- 314.201 Procedure for hearings.

## § 314.1

## 21 CFR Ch. I (4–1–05 Edition)

314.235 Judicial review.

### Subpart F [Reserved]

### Subpart G—Miscellaneous Provisions

- 314.410 Imports and exports of new drugs.
- 314.420 Drug master files.
- 314.430 Availability for public disclosure of data and information in an application or abbreviated application.
- 314.440 Addresses for applications and abbreviated applications.
- 314.445 Guidance documents.

### Subpart H—Accelerated Approval of New Drugs for Serious or Life-Threatening Illnesses

- 314.500 Scope.
- 314.510 Approval based on a surrogate endpoint or on an effect on a clinical endpoint other than survival or irreversible morbidity.
- 314.520 Approval with restrictions to assure safe use.
- 314.530 Withdrawal procedures.
- 314.540 Postmarketing safety reporting.
- 314.550 Promotional materials.
- 314.560 Termination of requirements.

### Subpart I—Approval of New Drugs When Human Efficacy Studies Are Not Ethical or Feasible

- 314.600 Scope.
- 314.610 Approval based on evidence of effectiveness from studies in animals.
- 314.620 Withdrawal procedures.
- 314.630 Postmarketing safety reporting.
- 314.640 Promotional materials.
- 314.650 Termination of requirements.

AUTHORITY: 21 U.S.C. 321, 331, 351, 352, 353, 355, 355a, 356, 356a, 356b, 356c, 371, 374, 379e.

SOURCE: 50 FR 7493, Feb. 22, 1985, unless otherwise noted.

EDITORIAL NOTE: Nomenclature changes to part 314 can be found at 69 FR 13717, Mar. 24, 2004.

### Subpart A—General Provisions

#### § 314.1 Scope of this part.

(a) This part sets forth procedures and requirements for the submission to, and the review by, the Food and Drug Administration of applications and abbreviated applications to market a new drug under section 505 of the Federal Food, Drug, and Cosmetic Act, as well as amendments, supplements, and postmarketing reports to them.

(b) This part does not apply to drug products subject to licensing by FDA under the Public Health Service Act (58 Stat. 632 as amended (42 U.S.C. 201 *et seq.*)) and subchapter F of chapter I of title 21 of the Code of Federal Regulations.

(c) References in this part to regulations in the Code of Federal Regulations are to chapter I of title 21, unless otherwise noted.

[50 FR 7493, Feb. 22, 1985, as amended at 57 FR 17981, Apr. 28, 1992; 64 FR 401, Jan. 5, 1999]

#### § 314.2 Purpose.

The purpose of this part is to establish an efficient and thorough drug review process in order to: (a) Facilitate the approval of drugs shown to be safe and effective; and (b) ensure the disapproval of drugs not shown to be safe and effective. These regulations are also intended to establish an effective system for FDA's surveillance of marketed drugs. These regulations shall be construed in light of these objectives.

#### § 314.3 Definitions.

(a) The definitions and interpretations contained in section 201 of the act apply to those terms when used in this part.

(b) The following definitions of terms apply to this part:

*Abbreviated application* means the application described under § 314.94, including all amendments and supplements to the application. "Abbreviated application" applies to both an abbreviated new drug application and an abbreviated antibiotic application.

*Act* means the Federal Food, Drug, and Cosmetic Act (sections 201–901 (21 U.S.C. 301–392)).

*Applicant* means any person who submits an application or abbreviated application or an amendment or supplement to them under this part to obtain FDA approval of a new drug or an antibiotic drug and any person who owns an approved application or abbreviated application.

*Application* means the application described under § 314.50, including all amendments and supplements to the application.

*505(b)(2) Application* means an application submitted under section 505(b)(1) of the act for a drug for which